



6/A

Patent
Attorney's Docket No. 032732-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
)	
Stephen N. PHILLIPS)	Group Art Unit: 2872
)	
Application No.: 09/982,813)	Examiner: Craig Curtis
)	
Filed: October 22, 2001)	Confirmation No.: 8278
)	
For: SOLAR CONTROL FILM)	
CONTAINING CARBON BLACK)	
AND PROCESS FOR PREPARING)	
THE SOLAR CONTROL FILM)	

AMENDMENT PURSUANT TO 37 C.F.R. §1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In complete response to the Official Action dated July 21, 2003, please amend the
above-identified application as follows.

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Patent
Attorney's Docket No. 032732-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Stephen N. PHILLIPS) Group Art Unit: 2872
Application No.: 09/982,813) Examiner: Craig Curtis
Filed: October 22, 2001) Confirmation No.: 8278
For: SOLAR CONTROL FILM)
CONTAINING CARBON BLACK AND)
PROCESS FOR PREPARING THE)
SOLAR CONTROL FILM)

AMENDMENT/REPLY TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a reply for the above-identified patent application.

- ☐ A Petition for Extension of Time is also enclosed.
- ☐ A Terminal Disclaimer and the ☐ \$55.00 (2814) ☐ \$110.00 (1814) fee due under 37 C.F.R. § 1.20(d) are also enclosed.
- ☒ Also enclosed is/are Request Pursuant to 37 C.F.R. §1.48 to Change Inventorship.
- ☐ Small entity status is hereby claimed.
- ☐ Applicant(s) requests continued examination under 37 C.F.R. § 1.114 and enclose the ☐ \$385.00 (2801) ☐ \$770.00 (1801) fee due under 37 C.F.R. § 1.17(e).
- ☐ Applicant(s) requests that any previously unentered after final amendments not be entered. Continued examination is requested based on the enclosed documents identified above.
- ☐ Applicant(s) previously submitted ___, on ___, for which continued examination is requested.
- ☐ Applicant(s) requests suspension of action by the Office until at least ___, which does not exceed three months from the filing of this RCE, in accordance with 37 C.F.R. § 1.103(c). The required fee under 37 C.F.R. § 1.17(i) is enclosed.

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- ☐ A Request for Entry and Consideration of Submission under 37 C.F.R. § 1.129(a) (1809/2809) is also enclosed.
- ☒ No additional claim fee is required.
- ☐ An additional claim fee is required, and is calculated as shown below:

AMENDED CLAIMS					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADD'L FEE
Total Claims		MINUS =		× \$18.00 (1202) =	
Independent Claims		MINUS =		× \$86.00 (1201) =	
If Amendment adds multiple dependent claims, add \$290.00 (1203)					
Total Claim Amendment Fee					
If small entity status is claimed, subtract 50% of Total Claim Amendment Fee					
TOTAL ADDITIONAL CLAIM FEE DUE FOR THIS AMENDMENT					\$0.00

☐ A total fee in the amount of \$ _____ is enclosed.

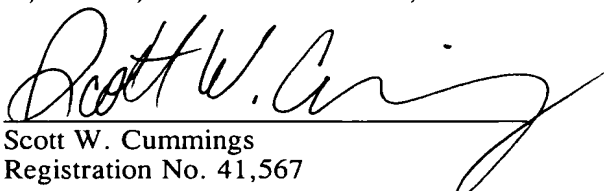
☐ Charge \$ _____ to Deposit Account No. 02-4800.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(d) and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 20, 2003

By: 
Scott W. Cummings
Registration No. 41,567

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620



#7
11-5-03
Patent
Attorney's Docket No. 032732-002
[Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
)
Stephen N. PHILLIPS et al.) Group Art Unit: 2872
Stephen N. PHILLIPS [as amended])
) Examiner: Craig Curtis
Application No.: 09/982,813)
) Confirmation No.: 8278
Filed: October 22, 2001)
)
For: SOLAR CONTROL FILM)
CONTAINING CARBON BLACK)
AND PROCESS FOR PREPARING)
THE SOLAR CONTROL FILM)

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REQUEST PURSUANT TO 37 C.F.R. §1.48 TO CHANGE INVENTORSHIP

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the inventorship in the above-identified patent application be corrected pursuant to 37 C.F.R. §1.48(b) by removing George L. Quinlan.

The undersigned has been advised that as a result of the cancellation of claims 23-29, the sole inventor of the remaining claimed subject matter is Mr. Stephen N. Phillips.

Further, the processing fee of \$130.00 required by 37 C.F.R. §1.17(i) is enclosed.

Granting of this Request and deleting George L. Quinlan as co-inventor in the present application is respectfully requested.

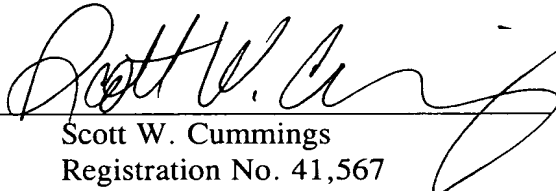
Should any questions arise in connection with this matter, the undersigned respectfully request that he be contacted at the number indicated below.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 20, 2003

By: _____


Scott W. Cummings
Registration No. 41,567

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620